

## **CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this correspondence for Application No. 10/777,576 is being electronically transmitted to Technology Center 2811, via EFS-WEB, on June 4, 2007.

/William R. Allen/  
William R. Allen, Reg. No. 48,389

June 4, 2007  
Date

**PATENT**

## **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appellants: Furukawa et al.  
Serial No.: 10/777,576  
Filed: February 12, 2004  
Group Art Unit: 2811  
Examiner: Ori Nadav  
**Title:** VERTICAL CARBON NANOTUBE FIELD EFFECT TRANSISTORS  
AND ARRAYS  
Confirmation No.: 6152  
Attorney Docket: ROC920030271US1

Mail Stop Appeal Brief – Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### **TRANSMITTAL OF APPEAL BRIEF (PATENT APPLICATION-37 CFR 1.192)**

1. Transmitted herewith is the APPEAL BRIEF in this application with respect to the Notice of Appeal filed on April 5, 2007.

#### **2. STATUS OF APPLICANT**

[ x ] other than a small entity  
[ ] small entity

#### **3. FEE FOR FILING APPEAL BRIEF**

Pursuant to 37 CFR 1.17(f) the fee for filing the Appeal Brief is:

[ ] small entity	\$250
[ x ] other than small entity	\$500

Appeal Brief fee due: \$500

#### **4. EXTENSION OF TIME**

Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

Fee for Extension of:	other than <u>small entity</u>	Fee for <u>small entity</u>
____	one month	\$ 120.00 \$ 60.00
____	two months	\$ 450.00 \$225.00
____	three months	\$1,020.00 \$510.00
____	four months	\$1,590.00 \$795.00

Fee: \$ 0 \_\_\_\_\_

If an extension of time is required please consider this a petition therefor.

*(check and complete the next item, if applicable)*

- [ ] An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$\_\_\_\_\_

or

- (b) [X] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### **5. TOTAL FEE DUE**

The total fee due is:

Appeal Brief fee:	<u>\$500.00</u>
Extension fee (if any):	<u>\$_____</u>
<b>Total Fee Due:</b>	<b><u>\$500.00</u></b>

#### **6. FEE PAYMENT**

- [ ] Attached is a check in the sum of \$\_\_\_\_\_  
[ ] Charge Account No. 23-3000 the sum of \$\_\_\_\_\_.

#### **7. FEE DEFICIENCY**

- [X] If any additional extension and/or fee is required, this is a request therefor and to charge Account No. 23-3000.  
and/or  
[X] If any additional fee for claims is required, charge Account No. 23-3000.